



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

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|----------------------------------|---------------------------------|--------------------------------|------------------------------------|
| APPLICATION NUMBER 08/835,482 | FILING/RECEIPT DATE 04/08/97 | FIRST NAMED APPLICANT RUBIN | ATTORNEY DOCKET NO./TITLE A 002 |
|----------------------------------|---------------------------------|--------------------------------|------------------------------------|

GILDO E FATO
515 ASH STREET
LIBERTYVILLE IL 60048

0222/0915

NOT ASSIGNED

DATE MAILED: 1502

09/15/97

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 575.00.

- ☒ 1. The statutory basic filing fee is:
 - ☐ missing.
 - ☒ insufficient.Applicant must submit \$ 385.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☐ 3. The oath or declaration:
 - ☐ is missing.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.
 - ☐ does not include the city and state or foreign country of applicant's residence.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are:
 - ☐ missing.
 - ☐ by a person other than inventor or person qualified under 37 CFR 1.42.A properly signed oath or declaration in compliance with 37 CFR 1.42, Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) in response.
 - ☐ An oath or declaration listing the above Application Number.
- ☐ 6. A \$ _____ process.
- ☐ 7. Your filing receipt was mailed.
- ☐ 8. The application does not conform. See attached "Notice to Comply".
- ☒ 9. OTHER: Small
Direct the response and any questions to:

Elisha A CO
Customer Service Center
Initial Patent Examination Division



002

NEW PATENT SPEC
Small Entity

THE STAMP OF THE PATENT OFFICE HEREON ACKNOWLEDGES THE RECEIPT, ON THE DATE INDICATED, OF THE FOLLOWING:

APPL.:
SN:
FILED:
TITLE:

ALAN A. RUBIN

UNKNOWN

APRIL 8, 1997

IMPROVEMENT IN TREATMENT OF

PARKINSON'S DISEASE AND RELATED DISORDERS
BY NOVEL FORMULATIONS OF THE COMBINATION
CARBIDOPA-LEVODOPA

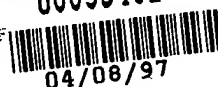
ATTORNEY:

G. E. FATO

PGS OF SPEC: 5 + ABSTRACT PGS OF CLAIMS: 2
OATH ATTACHED N/A DRAWINGS 0

85973 U.S. PTO

08835482



04/08/97

002

VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
INDEPENDENT INVENTOR

THE STAMP OF THE PATENT OFFICE HEREON ACKNOWLEDGES
THE RECEIPT, ON THE DATE INDICATED, OF THE FOLLOWING:

APPLNT
USSN
FILED
FOR

ALAN A. RUBIN

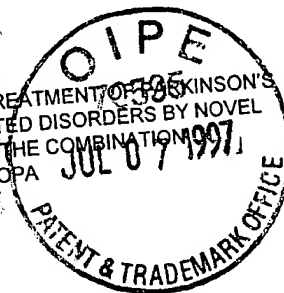
08/835,482

APRIL 8, 1997

IMPROVEMENT IN TREATMENT OF PARKINSON'S
DISEASE AND RELATED DISORDERS BY NOVEL
FORMULATIONS OF THE COMBINATION
CARBIDOPA-LEVODOPA

INVENTOR:

G. E. FATO






\$ 0300 #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|--|--|
| In the Application of: | CASE NO: 002 |
| ALAN A. RUBIN | GROUP ART UNIT: UNKNOWN |
| APPLICATION NO.: 08/835,482 | EXAMINER: UNKNOWN |
| FILED: APRIL 8, 1997 2706 | LIBERTYVILLE, IL 60048- |
| FOR: IMPROVEMENT IN TREATMENT OF PARKINSON'S DISEASE AND RELATED DISORDERS BY NOVEL FORMULATIONS OF THE COMBINATION CARBIDOPA-LEVODOPA | I  |

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

The above NOTICE indicates that the small entity statement is missing. However, as noted by the copy of the return receipt postcard which is enclosed, a verified statement claiming small entity status by an independent inventor was submitted and received by the Patent and Trademark Office on July 7, 1997. A copy of the statement is enclosed.

A copy of the above NOTICE is enclosed.

It is submitted that the small entity statement was timely submitted and no additional fees are required. Acceptance of the small entity is respectfully requested.

Respectfully submitted,

Gildo E. Fato

Gildo E. Fato
Attorney for Applicant
Registration No. 20,962
Telephone: 847 816-3753

Enclosure
Libertyville, IL
September 19, 1997